**REMARKS:** 

Claims 30-53 are currently pending in the application.

Claims 1-29 were previously canceled without *prejudice*.

Claim 54 is currently canceled without *prejudice*.

Claims 30, 38, 46, and 54 stand objected to due to various informalities.

Claims 46-53 stand rejected under 35 U.S.C. § 101.

Claims 30-54 stand rejected under 35 U.S.C. § 112, second paragraph.

Claims 30-32, 34-40, 42-48, and 50-54 stand rejected under 35 U.S.C. § 103(a) over U.S. Publication No. 2002/0032637 to Moshal *et al.* (hereinafter "*Moshal*") in view of the Examiner's Official Notice. Claims 33, 41, and 49 stand rejected under 35 U.S.C. § 103(a) over *Moshal* in view of U.S. Publication No. 2002/0194104 to Reamer (hereinafter "*Reamer*") further in view of the Examiner's Official Notice.

Applicant notes with thanks the Examiner's response of 6 July 2009, Telephonic Interview of 17 September 2009, Advisory Action of 22 September 2009, and Interview Summary of 23 September 2009.

Applicant respectfully submits that all of Applicant's arguments and amendments are without *prejudice* or *disclaimer*. In addition, Applicant has merely discussed example distinctions from the cited prior art. Other distinctions may exist, and as such, Applicant reserves the right to discuss these additional distinctions in a future Response or on Appeal, if appropriate. Applicant further respectfully submits that by not responding to additional statements made by the Examiner, Applicant does not acquiesce to the Examiner's additional statements. The example distinctions discussed by Applicant are considered sufficient to overcome the Examiner's rejections. In addition, Applicant reserves the right to pursue broader claims in this Application or through a continuation patent application. No new matter has been added.

I. Statement of the Interview

An Interview Summary Form in the subject Application was mailed to the undersigned on

23 September 2009. The Interview Summary form was mailed in response to a telephonic

interview, conducted on 17 September 2009, between Applicant's representatives and Examiner

Sara Chandler.

During the telephonic interview, Applicants representatives discussed the pending 101, 112,

and 103 rejections, as indicated in the Interview Summary dated 23 September 2009.

In addition, during the telephonic interview, Examiner Chandler stated that her supervisor

felt that U.S. Patent No. 6,993,504 to Friesen et al. (hereinafter "Friesen") may be prior art in the

subject Application. However, Examiner Chandler stated that she had not reviewed Friesen.

Nonetheless, out of an abundance of caution, Applicant is submitting herewith an Information

Disclosure Statement listing the Friesen reference. Although Examiner Chandler did not indicate

how Friesen may be valid prior art, Applicant sets forth below specific reasoning as to how

Applicant's claims are patentably distinguishable over *Friesen*.

Applicant is submitting this Statement of the Interview, in response to the last Office Action.

II. Claim Objections

Claims 30, 38, 46, and 54 stand objected to due to various informalities. While Applicant

respectfully submits that these claims are allowable in their prior, unamended form, Applicant

further respectfully submits that current amendments to Claims 30, 38, and 46 and the cancellation

of Claim 54 without prejudice renders moot the Examiner's informalities objections. As such,

Applicant respectfully requests that the Examiner's objections to Claims 30, 38, and 46 be

withdrawn.

Amendment Attorney Docket No. 020431.0917 Serial No. 09/970,371 Page 12 of 25 III. Rejections Under 35 U.S.C. § 101

Claims 46-53 stand rejected under 35 U.S.C. § 101 as being directed to non-statutory subject

matter. While Applicant respectfully submits that in their unamended form, Claims 46-53 are

directed toward statutory subject matter, to expedite prosecution and timely issuance of the Subject

Application, Applicant has amended Claims 46-53 thereby rendering moot the Examiner's

rejections under 35 U.S.C. § 101 and respectfully request that these rejections be withdrawn.

IV. Rejections Under 35 U.S.C. § 112, Second Paragraph

Claims 30-54 stand rejected under 35 U.S.C. § 112, second paragraph. Applicant

respectfully disagrees.

Nonetheless, Applicants respectfully submits that Applicant has amended Claims 30, 36-38,

and 46-53 and canceled Claim 54 without prejudice to more distinctly claim that which Applicant

regards as the invention. As such, Applicant respectfully requests that the Examiner's rejections

under 35 U.S.C. § 112 be withdrawn.

V. Rejections Under 35 U.S.C. § 103(a)

Claims 30-32, 34-40, 42-48, and 50-54 stand rejected under 35 U.S.C. § 103(a) Moshal in

view of the Examiner's Official Notice. Claims 33, 41, and 49 stand rejected under 35 U.S.C. §

103(a) over *Moshal* in view of *Reamer* further in view of the Examiner's Official Notice. Applicant

respectfully disagrees.

Applicant respectfully submits that Claims 30-53 in their current form contain unique and

novel limitations that are not disclosed by Moshal, Reamer, or the Examiner's Official Notice

whether taken individually or in combination. Thus, Applicant respectfully submits that Claims 30-

53 are not rendered obvious by the proposed combination of *Moshal, Reamer*, and the Examiner's

Official Notice and respectfully traverses the Examiner's rejection of these claims under 35 U.S.C.

Amendment Attorney Docket No. 020431.0917 Serial No. 09/970,371 Page 13 of 25 § 103(a). Applicant further respectfully submits that Claims 30-53 are in condition for allowance.

Thus, Applicant respectfully requests that Claims 30-53 be allowed.

The Examiner notes that Moshal fails to disclose the following elements of Applicant's

claims:

a buyer request display comprising one or more patterns representing the buyer

request, the one or more patterns of the buyer request comprising a plurality of bars, each bar representing a particular buyer offer variable and comprising a set of one or more geometric display elements, wherein the buyer computer encodes

the value of the particular one of the plurality of buyer offer variables, according

to a predefined encoding scheme; and

an offers display of the one or more offers, the offers display comprising a

plurality of patterns, each pattern of the plurality of patterns representing a particular one of the one or more offers and comprising a plurality of bars, each

bar representing a particular one of the plurality of seller offer variables and comprising a set of one or more geometric display elements, wherein the buyer

computer encodes the value of the particular one of the plurality of seller offer

variables, according to the predefined encoding scheme;

(6 July 2009 Final Office Action, page 10). However, Applicant respectfully submits that not

only does Reamer fail to disclose these missing elements, the Examiner also fails to

appropriately point to any portions of specific documentary evidence to properly support the

Examiner's Official Notice to fill the gaps in the limitations noted above that Moshal fails to

provide.

A. Transactional Data

Support for Applicant's claim limitations regarding a "buyer request comprising values

for a plurality of buyer offer variables" such that the buyer request may be classified as

transactional data stored in one or more databases may be found at least at page 7, line 24 – page

8, line 15 of Applicant's specification as filed, provided below:

Associated with electronic marketplace 16 may be *one or more market servers 20* 

and *one or more databases containing transaction information 22*. In general, market server 20 may support a particular electronic market for a particular item.

For example, market server 20 may receive bids from buyers 12 and asks from

Amendment Attorney Docket No. 020431.0917 Serial No. 09/970,371 Page 14 of 25

sellers 14, prioritize bids and asks, match bids with asks where appropriate, initiate transactions between buyers 12 and sellers 14 where appropriate, cancel or otherwise remove from the market a bid or ask (automatically or at the request of the corresponding buyer 12 or seller 14), keep a record of initiated transactions by storing associated transaction information 22, and perform other appropriate tasks associated with supporting an electronic market. In addition, market server 20 may perform tasks associated with generating a display providing visualization of a number of offers including a number of offer variables. For example, market server 20 may communicate data reflecting offers in the market to one or more buyers 12, sellers 14, or other suitable entities, which data may be used by the recipients to generate displays providing visualization of the offers. *Transaction* information 22 may include a number of records, each corresponding to a particular initiated transaction. A record of an initiated transaction may reflect one or more transaction terms, which may be the terms of the accepted offer. Such terms may include a transaction price, a transaction quantity, and other suitable transaction terms. Transaction information 22 may be used by buyer 12, seller 14, and any other appropriate entities to finalize a transaction between buyer 12 and seller 14 initiated as the result of a strike or for any other suitable purposes.

The portion of Applicant's specification shown above as well as page 7, lines 13-23, provided below, also amply describes the relationship between a database, market server and buyer computer system to disclose the storage and flow of transactional data as recited in Applicant's claims:

Buyers 12, sellers 14, and electronic marketplace 16 may be coupled to each other using links 18 that may each include one or more local area networks (LANs), metropolitan area networks (MANs), wide area networks (WANs), a portion of the Internet, or any other appropriate wireline, optical, wireless, or other links. The components of electronic marketplace 16 may operate on one or more computers at one or more locations, and electronic marketplace 16 may share one or more computers or other resources with one or more buyers 12 or one or more sellers 14, according to particular needs. Bids and asks may be received by the electronic marketplace 16 or an associated device in any suitable format, such as in the form of Hypertext Markup Language (HTML), Extensible Markup Language (XML), or other suitable files within Hypertext Transport Protocol (HTTP) messages.

## B. Claiming a Display

Support in Applicant's specification for claiming a display for a purpose other than for user preference may be found in at least the following portions of Applicant's specification, provided below:

Moreover, reference to a "buyer" or a "seller" may include a person, a computer system having one or more computers, an enterprise, or any other buying or selling entity, as appropriate. For example, a buyer 12 may include a computer programmed to autonomously identify a need for an item, search for that item, and buy that item upon identifying a suitable seller. Although buying and selling are described herein, the present invention contemplates any appropriate market transaction. Items may include raw materials, component parts, products, or any other tangible or intangible things that may be the subject of a transaction between a buyer 12 and a seller 14, and a single item may include one or more other items. Additionally, items may include lots, blocks, bundles, bushels, or other suitable units of one or more individual items, where appropriate. For example, capacitors may be bought and sold in indivisible units of five hundred capacitors, instead of one capacitor at a time. (Page 7, lines 1-12). (Emphasis added).

FIGURE 2 illustrates an example display 100 including patterns of geometric display elements to provide visualization of associated market offers. Display 100 may be generated in any suitable manner for use by any suitable entities. In one embodiment, for example, market server 20 may communicate data reflecting values specified in a number of offers to a computer system associated with a buyer 12, which computer system may, using the communicated data, generate display 100 for the exclusive use of buyer 12. In another embodiment, for example, market server 20 may locally generate all or certain portions of display 100 for a buyer 12 and communicate corresponding display data to a computer system associated with buyer 12 for the exclusive use of buyer 12. In another embodiment, for example, market server 20 may locally generate certain portions of display 100 for use by any number of buyers 12 and communicate corresponding display data to a computer system associated with a particular buyer 12, which computer system may modify display 100 (which may include generating further portions of display 100) for buyer 12. (Page 8, lines 16-29). (Emphasis added).

As shown above, a buyer or seller may be comprised of a computer system having one or more computers. Thus, the display that is generated for the use of the buyer is not merely a display for visual viewing by a human user, but is actually used by the buyer, which is a computer system having one or more computers. The buyer computer system may be

programmed to utilize this display data to identify a need for an item, search for an item, and buy

an item as well as to modify the display itself. Accordingly, the display as claimed by Applicant

is used by a buyer computer and is not merely a visual display for human viewing.

C. Claims Patentably Distinguishable over Friesen

Friesen fails to disclose at least the limitation of "each geometric display element in a set of

geometric display elements has a color according to the value being represented," as required by

Applicant's claims. The following portions of Friesen provided below, exemplify this distinction:

In this embodiment, the *spread is displayed in a different color* to allow the

trader to more easily view the activity in the markets. (column 4, lines 48-50).

The offers 304 and the bids 300 are displayed in different colors, shapes, textures or sizes, or other distinguishing visual characteristics, to allow the trader

to quickly ascertain the current state of the market for this item. Additionally, orders made by the trader are displayed having a different visual characteristic

than the visual characteristic used to display orders of other traders. (column 9,

lines 8-14).

In a preferred embodiment, the *interface 1200 displays bid icons 1220 in* 

different colors from the offer icons 1220 to allow the trader to easily distinguish

between the types of orders. (column 16, lines 19-22).

The interface 1200 preferably displays the spread area 1228 in a color that is different than the bid and offer icon color. In a preferred embodiment, the

interface 1200 selects a bright color to highlight the spread area 1228 to the trader, as the different spreads can be the most valuable information conveyed by

the interface 1200. (column 16, lines 36-40).

(Emphasis added). As shown above, Friesen discloses displaying offers, bids, and

spreads in different colors for ease of user viewing. Friesen is silent, and therefore, fails to

disclose displaying a geometric display element having a color according to the offer variable

that is represented by the geometric display element. Merely displaying an offer itself using a

particular color is *not analogous* to displaying an element having a particular color that

Amendment Attorney Docket No. 020431.0917 Serial No. 09/970,371 Page 17 of 25 represents an *offer variable*. As such, Applicant's claims are patentably distinguishable over *Friesen* for at least the reason of failing to disclose this limitation of Applicant's claims.

D. The Examiner's Official Notice is Improper under MPEP § 2144.03

Applicant respectfully submits that Applicant is confused as to what the Examiner teaches

by the Official Notice or even to the extent in which the Examiner is taking Official Notice.

Applicant respectfully requests clarification as to the subject matter for which Official Notice is

being taken. Applicant respectfully traverses the Official Notice because the asserted facts, as best

understood by Applicant, appear to be the Examiner's opinions formulated using the subject

Application as a template, which constitutes impermissible use of hindsight.

Only "in limited circumstances," is it "appropriate for an examiner to take official notice of

facts not in the record or to rely on common knowledge in making a rejection." (MPEP § 2144.03).

"Official notice unsupported by documentary evidence should only be taken by the examiner

where the facts asserted to be well-known, or to be common knowledge in the art are capable of

instant and unquestionable demonstration as being well-known.

the predefined encoding scheme;

With respect to the subject Application, the Examiner's states the following:

Official Notice is taken that it was old and well-known at the time of the invention to provide a buyer request display comprising one or more patterns representing the buyer request, the one or more patterns of the buyer request comprising a plurality of bars, each bar representing a particular buyer offer variable and comprising a set of one or more geometric display elements, wherein the buyer computer encodes the value of the particular one of the plurality of buyer offer variables, according to a predefined encoding scheme; and an offers display of the one or more offers, the offers display comprising a plurality of patterns, each pattern of the plurality of patterns representing a particular one of the one or more offers and comprising a plurality of bars, each bar representing a particular one of the plurality of seller offer variables and comprising a set of one or more geometric display elements, wherein the buyer computer encodes the value of the particular one of the plurality of seller offer variables, according to

Examiner notes, that the display represents a user interface. It was old and well known at the time of the invention that a user interface provides for the presentation of information to the users and the capture of their inputs.

[I]t was old and well-known at the time of the invention to manipulate the presentation of the information in a variety of ways in accordance with the preferences of a particular user. These presentations included the use of patterns incorporating bars, columns, grids etc. For example, Excel programs have been used frequently in reports, slide shows and presentations to show diagrams in the form of bars, lines and other visual forms.

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Official Notice is taken that it is old and well-known for buyers and sellers to limit their business transactions to preferred parties. Specifically, it was old and well known to provide wherein the one or more offers comprise asks only from sellers computers on an approved vendor list (AVL).

(6 July 2009 Final Office Action, pages 11-17). Applicant respectfully submits that the Examiner's statements as shown above are not capable of instant and unquestionable demonstration as being well-known. As noted by the court in In re Ahlert, 424 F.2d 1088, 1091, 165 USPQ 418, 420 (CCPA 1970), the notice of facts beyond the record which may be taken by the examiner must be 'capable of such instant and unquestionable demonstration as to defy the dispute' (citing In re Knapp Monarch Co., 296 F.2d 230, 132 U.S.P.Q. 6 (C.C.P.A. 1961))." (MPEP § 2144.03(A)). (Emphasis Added).

"It is *never appropriate to rely solely on "common knowledge" in the art without evidentiary support in the record*, as the principal evidence upon which a rejection was based. *Zurko*, 258 F.3d at 1385, 59 USPQ2d at 1697. As the court held in *Zurko*, an assessment of basic knowledge and common sense that is not based on any evidence in the record lacks substantial evidence support. *Id.* at 1385, 59 USPQ2d at 1697. See also *In re Lee*, 277 F.3d 1338, 1344-45, 61 USPQ2d 1430, 1434-35 (Fed. Cir. 2002)." (MPEP § 2144.03(A)).

"Ordinarily, there must be some form of evidence in the record to support an assertion of common knowledge. See Lee, 277 F.3d at 1344-45, 61 USPQ2d at 1434-35 (Fed. Cir. 2002); Zurko, 258 F.3d at 1386, 59 USPQ2d at 1697 (holding that general conclusions concerning what is "basic knowledge" or "common sense" to one of ordinary skill in the art without specific factual findings and some concrete evidence in the record to support these findings will not support an obviousness rejection). The examiner must provide specific factual findings predicated on sound technical and scientific reasoning to support his or her conclusion of common knowledge. See Soli,

317 F.2d at 946, 37 USPQ at 801; Chevenard, 139 F.2d at 713, 60 USPQ at 241. The applicant

should be presented with the explicit basis on which the examiner regards the matter as subject to

official notice and be allowed to challenge the assertion in the next reply after the Office action in

which the common knowledge statement was made." (MPEP § 2144.03(B)). (Emphasis Added).

Thus, if the Examiner continues to reject Applicant's claims based on the Official Notice,

Applicant respectfully requests that the Examiner provide appropriate documentary evidence as

necessitated by MPEP § 2144.03(C). Furthermore, if the Examiner is relying on personal

knowledge to support the finding of what is known in the art, Applicant further respectfully requests

that the Examiner provide an affidavit or declaration setting forth specific factual statements and

explanation to support the finding as further necessitated by MPEP § 2144.03(C).

E. The Office Action Fails to Properly Establish a *Prima Facie* case of Obviousness over the Proposed *Moshal-Reamer*-Official Notice Combination According to the

**UPSTO Examination Guidelines** 

Applicant respectfully submits that the Office Action fails to properly establish a *prima facie* 

case of obviousness based on the proposed combination of Moshal, Reamer and the Examiner's

Official Notice, and in particular, the Office Action fails to establish a prima facie case of

obviousness based on the "Examination Guidelines for Determining Obviousness Under 35 U.S.C.

103 in View of the Supreme Court Decision in KSR International Co. v. Teleflex Inc." (the

"Guidelines").

As reiterated by the Supreme Court in KSR International Co. v. Teleflex Inc. (KSR), the

framework for the objective analysis for determining obviousness under 35 U.S.C. 103 is stated in

Graham v. John Deere Co. (383 U.S. 1, 148 USPQ 459 (1966)). Obviousness is a question of law

based on underlying factual inquiries. These factual inquiries enunciated by the Court are as

follows:

(1) Determining the scope and content of the prior art;

(2) Ascertaining the differences between the claimed invention and the prior art; and

(3) Resolving the level of ordinary skill in the pertinent art.

Amendment Attorney Docket No. 020431.0917 Serial No. 09/970,371 (Notice, 72 Fed. Reg. 57527 (Oct. 10, 2007)). Objective evidence relevant to the issue of obviousness must be evaluated by Office personnel. (383 U.S. 17–18, 148 USPQ 467 (1966)). As stated by the Supreme Court in *KSR*, "While the sequence of these questions might be reordered in any particular case, the [*Graham*] factors continue to define the inquiry that controls." (*KSR*, 550 U.S. at \_\_\_, 82 USPQ2d at 1391).

However, it is important to note that the Guidelines require that Office personnel "ensure that the written record includes findings of fact concerning the state of the art and the teachings of the references applied. (Notice, 72 Fed. Reg. 57527 (Oct. 10, 2007)). In addition, the Guidelines remind Office personnel that the "factual findings made by Office personnel are the necessary underpinnings to establish obviousness." (id.). Further, "Office personnel must provide an explanation to support an obviousness rejection under 35 U.S.C. 103. (id.). In fact, "35 U.S.C. 132 requires that Applicant be notified of the reasons for the rejection of the claim so that he or she can decide how best to proceed" and "clearly setting forth findings of fact and the rationale(s) to support a rejection in an Office action leads to the prompt resolution of issues pertinent to patentability." (id.).

With respect to the subject application, the Office Action has not shown the factual findings necessary to establish obviousness or even an explanation to support the obviousness rejection based on the proposed combination of Moshal, Raemer, and the Examiner's Official Notice. The Office Action merely states that "it would have been obvious to one of ordinary skill in the art at the time invention was made to modify the teachings of Moshal by adopting the teachings of Raemer to provide system/method/software, wherein the user is a buyer and the offers comprise asks only from sellers on an approved vendor list (AVL)." (6 July 2009 Final Office Action, page 17). Applicant respectfully disagrees and respectfully submits that the Examiner's conclusory statement is not sufficient to establish the factual findings necessary to establish obviousness and is not a sufficient explanation to support the obviousness rejection based on the proposed combination of Moshal, Raemer, and the Examiner's Official Notice. Applicant respectfully requests that the Examiner provide proper support for the obviousness rejection under 35 U.S.C. 103 as necessitated by the Guidelines, including the factual findings necessary to establish obviousness to "ensure that the written record includes findings of fact concerning the state of the art and the teachings of the references applied. (Notice, 72 Fed. Reg. 57527 (Oct. 10, 2007)).

The Guidelines further provide guidance to Office personnel in "determining the scope and content of the prior art" such as, for example, "Office personnel must first obtain a thorough understanding of the invention disclosed and claimed in the application." (Notice, 72 Fed. Reg. 57527 (Oct. 10, 2007)). The scope of the claimed invention must be clearly determined by giving the claims the "broadest reasonable interpretation consistent with the specification." (See Phillips v. AWH Corp., 415 F.3d 1303, 1316, 75 USPQ2d 1321, 1329 (Fed. Cir. 2005) and MPEP § 2111.). In addition, the Guidelines state that any "obviousness rejection should include, either explicitly or implicitly in view of the prior art applied, an indication of the level of ordinary skill." (Notice, 72 Fed. Reg. 57528 (Oct. 10, 2007)). With respect to the subject Application, the Office Action has not provided an indication of the level of ordinary skill. Applicant respectfully requests that the Examiner provide proper support for the obviousness rejection under 35 U.S.C. 103 as necessitated by the Guidelines, including an indication of the level of ordinary skill, relied upon by the Examiner. (Notice, 72 Fed. Reg. 57527 (Oct. 10, 2007)).

The Guidelines still further provide that once the *Graham* factual inquiries are resolved, Office personnel must determine whether the claimed invention would have been obvious to one of ordinary skill in the art. (*Id.*). For example, the Guidelines state that *Office personnel must explain* why the difference(s) between the prior art and the claimed invention would have been obvious to one of ordinary skill in the art. (*Id.*). In addition, the Guidelines state that the proper analysis is whether the claimed invention would have been obvious to one of ordinary skill in the art after consideration of all the facts. (*Id.* and *See* 35 U.S.C. 103(a)).

With respect to the subject Application, the Office Action has not expressly resolved any of the *Graham* factual inquiries to determine whether Applicant's invention would have been obvious to one of ordinary skill in the art. In addition, the Office Action fails to *explain why the differences* between the proposed combination of Moshal, Reamer, and the Examiner's Official Notice and Applicant's claimed invention would have been obvious to one of ordinary skill in the art. The Office Action merely states that "one would have been motivated to avoid fraud, maintain business related and facilitate a more efficient experience." (6 July 2009 Final Office Action, page 17). Applicant respectfully disagrees and further respectfully requests clarification as to how this statement explains why the difference(s) between the proposed combination of Moshal, Reamer, and the Examiner's Official Notice, and Applicant's claimed invention would have been obvious

to one of ordinary skill in the art. Applicant further respectfully submits that the Examiner is using the subject Application as a template to formulate reconstructive hindsight, which constitutes impermissible use of hindsight under 35 U.S.C. § 103(a).

The Guidelines yet further state that the "key to supporting any rejection under 35 U.S.C. 103 is the clear articulation of the reason(s) why the claimed invention would have been obvious." (Notice, 72 Fed. Reg. 57528 (Oct. 10, 2007)). In fact, the Supreme Court in KSR noted that "the analysis supporting a rejection under 35 U.S.C. 103 should be made explicit." (id.). The Court quoting In re Kahn (441 F.3d 977, 988, 78 USPQ2d 1329, 1336 (Fed. Cir. 2006)), stated that "[R]ejections on obviousness cannot be sustained by mere conclusory statements; instead, there must be some articulated reasoning with some rational underpinning to support the legal conclusion of obviousness." (KSR, 550 U.S. at \_\_, 82 USPQ2d at 1396). The Guidelines provide the following seven rationales:

- (A) Combining prior art elements according to known methods to yield predictable results;
- (B) Simple substitution of one known element for another to obtain predictable results:
- (C) Use of known technique to improve similar devices (methods, or products) in the same way;
- (D) Applying a known technique to a known device (method, or product) ready for improvement to yield predictable results;
- (E) "Obvious to try"—choosing from a finite number of identified, predictable solutions, with a reasonable expectation of success;
- (F) Known work in one field of endeavor may prompt variations of it for use in either the same field or a different one based on design incentives or other market forces if the variations would have been predictable to one of ordinary skill in the art;
- (G) Some teaching, suggestion, or motivation in the prior art that would have led one of ordinary skill to modify the prior art reference or to combine prior art reference teachings to arrive at the claimed invention.

Applicant respectfully submits that the Office Action fails to provide any articulation, let alone, clear articulation of the reasons why Applicant's claimed invention would have been obvious. For example, the Examiner has not adequately supported the selection of Moshal, Reamer, and the Examiner's Official Notice to render obvious Applicant's claimed invention. The Examiner's unsupported conclusory statements that "it would have been obvious to one of

ordinary skill in the art at the time invention was made to modify the teachings of Moshal by

adopting the teachings of Reamer to provide system/method/software, wherein the user is a buyer

and the offers comprise asks only from sellers on an approved vendor list (AVL)" and to "one

would have been motivated to avoid fraud, maintain business related and facilitate a more efficient

experience" does not adequately provide clear articulation of the reasons why Applicant's

claimed invention would have been obvious. (6 July 2009 Final Office Action, page 17). In

addition, the Examiner's unsupported conclusory statement fails to meet any of the Guidelines

rationales to render obvious Applicant's claimed invention.

Thus, if the Examiner continues to maintain the obvious rejection based on the proposed

combination of Moshal, Reamer, and the Examiner's Official Notice, Applicant respectfully

requests that the Examiner provide proper support for the obviousness rejection under 35

U.S.C. 103 as necessitated by the Guidelines, including a statement by the Examiner

identifying which one of the seven rationales the Examiner is relying on and the proper

analysis of that particular rationale, as required by the Guidelines.

VI. Applicant's Claims are Patentable over the Proposed Moshal-Reamer-Official-

Notice Combination

Applicant respectfully submits that Claims 30, 38, and 46 are considered patentably

distinguishable over the proposed combination of Moshal, Reamer, and the Examiner's Official

Notice. With respect to dependent Claims 31-37, 39-45, and 47-53: Claims 31-37 depend from

Claim 30; Claims 39-45 depend from Claim 38; and Claims 47-53 depend from Claim 46. As

mentioned above, each of Claims 30, 38, and 46 are considered patentably distinguishable over the

proposed combination of Moshal, Reamer, and the Examiner's Official Notice. Thus, dependent

Claims 31-37, 39-45, and 47-53 are considered to be in condition for allowance for at least the

reason of depending from an allowable claim.

For at least the reasons set forth herein, Applicant respectfully submits that Claims 30-53 are

not rendered obvious by the proposed combination of Moshal, Reamer, or the Examiner's Official

Notice. Applicant further respectfully submits that Claims 30-53 are in condition for allowance.

Thus, Applicant respectfully requests that the rejection of Claims 30-53 under 35 U.S.C. § 103(a) be

reconsidered and that Claims 30-53 be allowed.

Amendment Attorney Docket No. 020431.0917 Serial No. 09/970,371 **CONCLUSION:** 

In view of the foregoing amendments and remarks, this application is considered to be in

condition for allowance, and early reconsideration and a Notice of Allowance are earnestly

solicited.

A Request for Continued Examination (RCE) is being filed electronically herewith to

facilitate the processing of this deposit account authorization. The Director is hereby authorized

to charge the \$810.00 RCE fee, to Deposit Account No. 500777. Although Applicant believes no

additional fees are deemed to be necessary; the undersigned hereby authorizes the Director to

charge any additional fees which may be required, or credit any overpayments, to Deposit Account

No. 500777. If an extension of time is necessary for allowing this Response to be timely filed, this

document is to be construed as also constituting a Petition for Extension of Time Under 37 C.F.R. §

1.136(a) to the extent necessary. Any fee required for such Petition for Extension of Time should

be charged to **Deposit Account No. 500777**.

Please link this application to Customer No. 53184 so that its status may be checked

via the PAIR System.

Respectfully submitted,

6 October 2009

Date

/Steven J. Laureanti/signed

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